## UTILITY PATENT APPLICATION TRANSMITTAL for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	217734US6

First Inventor or Application Identifier Yuhei KOBAYASHI

OPTICAL DISC RECORDING AND/OR REPRODUCING APPARATUS AND ABERRATION ADJUSTMENT METHOD

Assignee Name: SONY CORPORATION

7-35, Kitashinagawa 6-chome, Shinagawa-ku, Tokyo 141-0001 JAPAN Assignee Address:

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents	ASSISTANT Commissioner for Patents of ADDRESS TO:  Box Patent Application  Washington, DC 20231					
1. Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing)	ACCOMPANYING APPLICATION PARTS					
(Submit an original and a duplicate for fee processing)	7. □ Assignment Papers (cover sheet & document(s))					
2. ■ Specification Total Sheets 37	8. ■ Application Data Sheet. See 37 CFR 1.76					
	9.   37 C.F.R. §3.73(b) Statement   Power of Attorney (when there is an assignee)					
3. ■ Formal Drawing(s) Total Sheets 8	10. □ English Translation Document (if applicable)					
	11. ☐ Information Disclosure  Statement (IDS)/PTO-1449 ☐ Copies of IDS ☐ Citations					
4. □ Oath or Declaration Total Pages	12. ☐ Preliminary Amendment					
a. □ Newly executed (original or copy)	13. White Advance Serial No. Postcard					
b.   Copy from a prior application (37 C.F.R, §1.63(d)) (for continuation / divisional w/ box 17 completed)	14. Certified Copy of Priority Document(s) (2) (if foreign priority is claimed)					
i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §1.63(d)(2) and 1.33(b).	15. □ Applicant claims small entity status.					
5. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program ( <i>Appendix</i> )	16. ■ Other: Request for Priority					
6. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)						
a. ☐ Computer Readable Form (CRF)						
b. Specification or Sequence Listing on:						
i. □ CD-ROM or CD-R (2 copies); or						
ii.   Paper						
c.   Statements verifying identity of above copies						
17. If a CONTINUING APPLICATION, check appropriate box, and supp	ply the requisite information below:					
□ Continuation □ Divisional □ Continuation-						
Prior application information: Examiner:	Group Art Unit:					
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.						
18. Amend the specification by inserting before the first line the se						
□ This application is a □ Continuation □ Division □ Continuation-in-part (CIP) of application Serial No. Filed on						
□ Which was published in English						
□ Which was not published in English						
☐ This application claims priority of provisional application Seria	l No. Filed					
19. CORRESPONDENCE ADDRESS						
22850 (703) 413-3000 FACSIMILE: (703) 413-2220						

Name:	Gregory J. Maier		Registration No.:		25,599
Signature:		mmontales		Date:	12/27/01
Name:	C.	Irvin McClelland	Registration No.:		

Docket No.

217734US6

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) Yuhei KOBAYASHI

SERIAL NO:

New Application

FILING DATE: Herewith

FOR:

OPTICAL DISC RECORDING AND/OR REPRODUCING APPARATUS AND ABERRATION

ADJUSTMENT METHOD

## FEE TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	12 - 20 =	0	× \$18 =	\$0.00
INDEPENDENT CLAIMS	4 - 3 =	1	× \$84 =	\$84.00
□ MULTIPLE DEPENDE	\$0.00			
■ LATE FILING OF DEC	\$130.00			
	\$740.00			
	\$954.00			
□ REDUCTION BY 50% I	\$0.00			
□ FILING IN NON-ENGLISH LANGUAGE			+ \$130 =	\$0.00
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The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Date:

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